

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Group Art Unit Unassigned

In re Patent Application of
James S. W. Lee, et al.

Application No. 10/692,626

Confirmation No.: Unassigned

Filed: October 24, 2003

Examiner: Unassigned

"TOY FIGURE WITH ARTICULATING
JOINTS"



I, Elizabeth M. Campbell, hereby certify that this correspondence is being deposited with the US Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date of my signature.

Elizabeth M. Campbell
Signature

2/12/04
Date of Signature

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR §1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Examiner's attention is directed to the references which are listed on the attached Form PTO/SB/08A and/or PTO/SB/08B. Copies of the U.S. Patent Documents and Other Non Patent Literature documents are not being provided herewith because, pursuant to 37 C.F.R. §1.98(d), copies were previously submitted to, or cited by, the office in the following U.S. patent applications: U.S. Serial No. 09/449,380, filed November 24, 1999 and U.S. Serial No. 09/088,385, filed June 1, 1998, which are being relied upon for priority purposes under 35 U.S.C. §120. Copies of the Foreign Patent Documents are provided. Citation of all of these references is respectfully requested.

No concession is made that the above-referenced documents are prior art, and Applicant expressly reserves the right to antedate the documents as may be appropriate.

Respectfully submitted,

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Substitute of form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/692,626
				Filing Date	October 24, 2003
				First Name Inventor	James S. W. Lee
				Group Art Unit	Unassigned
Examiner Name	Unassigned				
Sheet	1	of	6	Attorney Docket Number	200801-9013

U.S. PATENT DOCUMENTS				
Examiner Initials		U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
		242,210	Mason et al	5/1881
		292,919	Kihlgren	2/5/1884
		703,899	Debes	7/1/1902
		719,310	Debes	1/1903
		924,521	Wulkan	6/1909
		1,270,781	Cabana	7/2/1918
		1,359,030	Cabana	11/16/1920
		1,500,921	Bramson et al.	7/8/1924
		1,595,203	Leathers	8/10/1926
		1,599,665	McPheeters	9/1926
		1,943,631	Skillman	5/16/1933
		1,943,631	Skillman	1/14/1936
		2,007,784	Wittmann	7/9/1935
		2,027,560	Skillman	1/14/1936
		2,118,677	Lower	5/24/1938
		2,129,421	Hales, L.R.	9/6/1938
		2,165,473	Greenecker	7/11/1939
		2,285,472	Tenenbaum	6/9/1942
		2,165,473	Geizer et al.	2/8/1999
		2,807,119	Beebe	9/24/1957
		2,954,992	Baker	10/4/1960
		3,011,219	Williams	12/5/1961
		3,065,566	Sugimoto	11/27/1962

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U.S. PATENT DOCUMENTS				
Examiner Initials		U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
		3,094,376	Thomas	6/18/1963
		3,277,601	Ryan	10/11/1966
		3,277,602	Speers, et al	10/1966
		3,319,846	Wolf	5/16/1967
		3,350,812	Lindsay et al.	11/7/1967
		3,361,310	Ziegler et al.	1/2/1968
		3,425,155	Ryan et al.	2/4/1969
		3,466,793	Pugh et al.	9/16/1969
		3,557,471	Payne et al.	1/26/1971
		3,591,669	Memory	7/6/1971
		3,609,911	Hanf et al.	10/5/1971
		3,628,282	Johnson et al.	12/21/1971
		3,648,405	Tepper	3/1972
		3,677,585	Scheerer	7/1972
		3,693,288	Lewins et al.	3/1972
		3,699,710	Glass et al.	10/24/1972
		3,716,942	Garcia et al.	2/20/1973
		3,727,343	Chiari	4/17/1973
		3,740,894	Howland et al.	6/26/1973
		3,866,350	Goldfarb	8/1975
		3,900,992	Klamer	8/1975
		3,928,934	Lewis, et al.	12/1975
		3,938,277	Goldfarb et al.	2/17/1976

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U.S. PATENT DOCUMENTS				
Examiner Initials		U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
		3,940,880	Kaelin et al.	3/2/1976
		3,941,495	Duncan	3/12/1976
		3,955,311	Lyons et al.	5/11/1976
		3,988,855	Crabtree et al.	11/2/1976
		4,006,555	England et al.	2/8/1977
		4,242,830	Hauser	1/6/1981
		4,274,224	Pugh et al.	6/23/1981
		4,279,099	Dyer et al.	7/21/1981
		4,279,419	Barnes	7/1981
		4,286,407	Adickes, Jr. et al.	9/1981
		4,290,181	Jackson	9/22/1981
		4,439,909	Borgen et al.	4/3/1984
		4,470,784	Piotrovsky	9/11/1984
		4,560,362	Renger	12/1985
		4,571,209	Manning, et al.	2/1986
		4,579,542	Mayer et al.	4/1/1986
		4,619,540	Day et al.	11/28/1986
		4,623,318	Tsiknopoulos, et al.	11/1986
		4,619,540	Keiji	2/17/1987
		4,662,857	Quinn et al	5/1987
		4,669,998	Amici et al.	6/2/1987
		4,673,374	Kelley	6/16/1987
		4,680,019	Baerenwald et al.	7/14/1987

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U.S. PATENT DOCUMENTS				
Examiner Initials		U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
		4,696,656	Torres et al	9/1987
		4,708,687	Goldberg et al.	11/24/1987
		4,723,932	Kelly et al.	2/1988
		4,738,649	Delli Bovi et al.	4/19/1988
		4,790,789	Mathis	12/13/1988
		4,824,410	Sommer	4/1989
		4,854,911	Berliner	8/8/1989
		4,887,486	Wood, Jr.	12/19/1989
		4,890,356	Czech et al	1/1990
		4,902,220	Nakagawa	2/20/1990
		4,968,282	Robson, et al.	11/1990
		4,973,372	Ditlinger	11/27/1990
		4,995,846	Mariol	2/26/1991
		5,009,538	Shirai et al.	4/23/1991
		5,011,320	Love et al.	4/30/1991
		5,011,321	Kidokoro	4/30/1991
		5,078,531	Sakai et al.	1/7/1992
		5,140,869	Mrdjenovich et al.	8/25/1992
		5,147,238	Kelly, et al.	9/1992
		5,150,981	Miwa	9/29/1992
		5,152,628	Broszat et al.	10/6/1992
		5,163,769	Dresselhouse	11/17/1992
		5,178,482	Wood	1/12/1993

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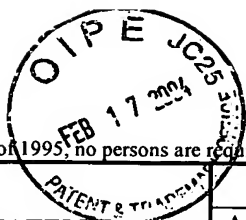
U.S. PATENT DOCUMENTS				
Examiner Initials		U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
		5,257,873	Abbat	11/2/1993
		5,267,805	Ueno et al.	12/7/1993
		5,277,860	Sinclair	1/11/1994
		5,334,073	Tilbor et al.	8/2/1994
		5,431,554	Yoshida et al.	7/11/1995
		5,570,124	Ohno et al	10/1996
		5,588,895	Larson	12/31/1996
		5,615,967	Hellon	4/1/1997
		5,620,352	Tzong	4/1997
		5,989,658	Miura et al.	11/23/1999
		6,022,263	Liu, et al.	2/2000
		6,033,284	Ferre	3/7/2000
		6,375,536	Yang	4/2002
		6,422,916	Enku	7/2002

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Country Code	Foreign Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Translation	English Abstract
	JP	62129076	Bandai Co.	6/11/1987	X	
	JP	62050112	Bandai Co.	3/4/1987	X	
	JP	62246392	Takara Co., Ltd.	10/27/1987	X	
	EP	0250063	Teleflex, Inc.	11/3/1987		
	DE	1,953,396	Scheerer	10/23/1969		
	EP	0256818 A2	Dixon	2/24/1988		
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Sheet	6	of	6	Attorney Docket Number	200801-9013

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Country Code	Foreign Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Translation	English Abstract
	FR	70.37025	Scheerer.	10/23/1969		
	NL	7,014,586	Chavannes	10/5/1970		
	UK	1,423,154	Pugh	1/28/1976		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.
	1994 "G.I. Joe" Doll
	1978 "G.I. Joe" Doll
	"Ban Dai" Doll Figure
	"Skipper" doll
	"Babe Ruth" Figure
	"G.I. Joe" Figure
	"Barbie" Figure
	"He-Man" Figure
	"Marshall Bravestarr" Figure
	"Handle Bar" Figure
	"Pro-Zone" Figure
	Frances Baird, <i>Action Man: Gold Medal Doll For Boys</i> , 1966-1985

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